MEMORANDUM

TO: Main & Branch Campus Faculty, Staff and Administrators
FROM: Michael Dougher, Vice President for Research
SUBJECT: Institutional Commitment to U.S. Export Control Compliance
DATE: September 30, 2014
CC: Carlos Rey Romero, Associate Vice President for Research & Compliance

Each year it is important the campus community reflects on the importance of complying with U.S. export control laws and regulations and The University of New Mexico’s (UNM) commitment to providing the trainings, tools, and resources to do so.

UNM is committed to complying with all applicable export laws and regulations. U.S. export controls govern the transfer, distribution, disclosure, and shipment of certain controlled information, software code, and tangible items as well as the provision of certain services to foreign persons and countries. The vast majority of the work done by the UNM community is considered within the fundamental research or in the public domain exclusions. These exclusions are not without their limitations. The UNM export control compliance program is focused on helping faculty, staff and students remain within the fundamental or public domain exclusions. Furthermore, when working outside of the exclusions, a project specific compliance plan will be developed and implemented.

Violation of U.S. Export Control Laws and regulations are punishable by fines, prison time, and debarment. These penalties apply to the individual (faculty, staff, or students) and the institution (UNM). The most important risk mitigation strategy is comprehensive oversight of export-controlled activities to proactively manage compliance.

To ensure compliance with U.S. Export Control Laws and regulations the Office of Research & Compliance offers formalized seminars, and individualized consultations for faculty, staff and students. You can find a list of resources on the export control website http://researchcompliance.unm.edu/exportcontrol. Furthermore, you can contact Adelicia Gunn, the export control program specialist at export@unm.edu or call her at (505)277-2968, if you have any general or specific questions. You may also arrange for customized group or departmental trainings to ensure all applicable individuals have the information they need to be compliant with the federal laws and regulations.

END OF MEMORANDUM