Tip Sheet: What to Do When a Disclosure is Disapproved by the COI Office

After your disclosure is certified, it may be reviewed by the COI Office for completeness. We will make sure that we have enough information to continue our review, and most importantly, make certain that Project Disclosures for NIH/PHS or Department of Energy projects are completed correctly.

If we find a mistake, or if the disclosure is unclear for some reason, we can return it to you for completion by disapproving it. At the time we disapprove it, we will send you an email notification outlining why the disclosure has been disapproved. You may also get a notification from Streamlyne.

Should your disclosure get rejected, you can correct and resubmit it using the following steps.

	Action	ld	Туре	Title
	АРР	<u>27385</u>	KC COI Disclosure	KC COI Disclosure - COI2022
	АРР	<u>27457</u>	KC COI Disclosure	KC COI Disclosure - Annual Disclosure
	ACK	<u>27630</u>	KC COI Disclosure	KC COI Disclosure - Department of Energy
Show	СОМ	<u>27897</u>	Proposal Development Document	Test DOE Project; Proposal No: ; PI ; Sponsor: Department of Energy;
Show	COM	<u>27915</u>	Proposal Development Document	Test DOE; Proposal No: 91; PI Linnea Ista; Sponsor: Department of E
	АРР	<u>27916</u>	KC COI Disclosure	KC COI Disclosure - DOE Biofilm Energy
	АРР	<u>27970</u>	KC COI Disclosure	KC COI Disclosure - DOE Fake project
	АРР	<u>28007</u>	IRB Protocol	Test UNMSD69 2
	COM	<u>393198</u>	KC COI Disclosure	KC COI Disclosure - Department of Energy
Show	FYI	<u>393200</u>	Streamlyne Research Notification	Proposal Disclosure has been disapproved.

1. Go into Streamlyne and find your Action List

- 2. Within the action list, you will see 3 items related to the disapproval
 - a. An acknowledgement action next to a green box marked (ACK)
 - b. An FYI/Notification (next to a blue box marked FYI)
 - c. A saved copy of the disapproved disclosure (next to a purple box marked COM)

	Action	ld	Туре	Title
	АРР	<u>27385</u>	KC COI Disclosure	KC COI Disclosure - COI2022
	АРР	27457	KC COL Disclosure	KC COI Disclosure - Annual Disclosure
	ACK	<u>27630</u>	KC COI Disclosure	KC COI Disclosure - Department of Energy
Show	LUm		Den 12 April Document	Test DOE Project; Proposal No: ; PI ; Sponsor: Department of Energy;
Show	COM	<u>27915</u>	Proposal Development Document	Test DOE; Proposal No: 91; PI Linnea Ista; Sponsor: Department of Er
	APP	<u>27916</u>	KC COI Disclosure	KC COI Disclosure - DOE Biofilm Energy
	АРР	<u>27970</u>	KC COI Disclosure	KC COI Disclosure - DOE Fake project
			IRB Protocol	Test UNMSD69 2
	COM	<u>393198</u>	KC C Disclosure	KC COI Disclosure - Department of Energy
ð:	FYD	<u>393200</u>	Stree yne Research Notification	Proposal Disclosure has been disapproved.

3. Clear the FYI because it doesn't tell you anything useful.

	АРР	<u>28007</u>	2211023332	IRB Protocol	Test UNMSD69 2	ENROUTE	VP Resrch & Ecc VPRED #798A
	COM	<u>393198</u>	100000205	KC COI Disclosure	KC COI Disclosure - Department of Energy	SAVED	
Show	FYI	<u>393200</u>		Streamlyne Research Notification	Proposal Disclosure has been disapproved.	FINAL	
					31 items for	und, displaying 21	to 30.
					« < 1	2 3 4	> »
					Take Actio	Clear All FYI A	Actions

4. The acknowledgement action, is, frankly, not very useful. It will open a copy of the disclosure and then you will have to select "acknowledge" at the bottom. You can skip this step, but the action will remain in your action list. We are working with Streamlyne to remove this action from your list.

Should you want to remove it, however, click on the blue number next to the ACK

Show	COM	27897	Proposal Development Document	Test DOE Project; Proposal No: ; PI ; Sponsor: Department of Energy;
Show	СОМ	27915	Proposal Development Document	Test DOE; Proposal No: 91; PI Linnea Ista; Sponsor: Department of Ei
	ADD	07040		KO COL Diselecture DOE Biefilm Energy

This will open the disclosure, where you cannot do anything but click "Acknowledge" at the bottom.

✓ Certification						
Certification ()						
agree to abide by the <u>University of New Mexico's Faculty Handbook Policy E-110. Conflict of Interest in Research https://handbook.umm.edu/e110/] I supply this Information for review by the University of New Mexico, and for such other purposes as are required by law, regulation, policy, or contract. Lunderstand and agree that if there is a material change to this information (for example, an acquisition of a significant financial interest or a modification of my existing professional arrangement(s) outside UNM, I must update the associated outside entities and my mater disclosure within 30 days of that change.</u>						
Certification						
In submitting this disclosure, I certify that the information provided is true to the best of my knowledge.						
11/01/2022 Print						
Reporter Certification Date						
> Data Validation						
> Route Log						
Send Notification Save Rei Acknowledge Jose						

5. To update your disclosure, select the blue number next to the COM tab to open the disclosure.

Action	IU	Туре	The
АРР	27385	COI Disclosure	KC COI Disclosure - COI2022
АРР	27457	KC COI Disclosure	KC COI Disclosure - Annual Disclosure
ACK	<u>27630</u>	KC COI Disclosure	KC COI Disclosure - Department of Energy

- a. Complete the change requested.
 - i. If you are unsure what is needed, please reach out to us at <u>coiresearch@unm.edu</u> or 505.277.1045.
- b. Certify to submit.
- 6. The disclosure is now resubmitted.